

TRAVEL CERTIFICATION AND EXCEPTION REQUEST FORM

Individual Travel

INSTRUCTIONS

Use the table below to determine if your University Travel requires a Certification (CERT) or an Exception (EXC) under the Global Safety & Security (GS&S) Travel Policy.

To determine your Destination Risk Category (DRC), simply search for your destination country(s) on the list on the [GS&S Destination Guidance page](#) to learn the category (A, B, C or X). If your destination displays an M (“mixed”), click into the destination to learn more.

STUDENT TRAVELERS	Domestic	Cat. A	Cat. B	Cat. C	Cat. X
University Travel	TR	TR	TR CERT	TR EXC	University Travel not permitted*

**In exceedingly rare circumstances, graduate students who wish to conduct PhD dissertation-related research only may request an Exception. Contact [GS&S](#) if you would like to request an Exception.*

FACULTY, RESEARCHER, ACADEMIC PROFESSIONAL, OR STAFF TRAVELER	Domestic	Cat. A	Cat. B	Cat. C	Cat. X
University Travel	Optional+	TR	TR	TR CERT	University Travel not permitted*

+Registration of travel for domestic field research is encouraged.

**In exceedingly rare circumstances, faculty, researchers, academic professionals, or staff may request an Exception. Contact [GS&S](#) if you would like to request an Exception.*

CERTIFICATION INSTRUCTIONS

- Complete Sections 1 through 3 of the form.
- Use the “Certification Endorser” table (available in Section 4 of the form) to determine the endorser.
- Obtain endorsement following the instructions contained in the “Certification Endorser” table.
- Upload the completed, endorsed document (with both your signature in Section 3 and the endorser’s signature in Section 4 present) to your travel enrollment on the Enroll My Trip system (<https://enrollmytrip.princeton.edu>).

EXCEPTION INSTRUCTIONS

- Complete Sections 1 through 3 of the form.
- Use the “Certification Endorser” table (available in Section 4 of the form) to determine the endorser.
- Obtain endorsement following the instructions contained in the “Certification Endorser” table.
- Complete Section 5, Identified Risks and Risk Mitigations.
- Submit the completed, endorsed document (with Sections 1-5 completed) to globalsafety@princeton.edu.
- Engage further with GS&S, as required, to complete the residual risk assessment process so that an appropriate recommendation can be made to the Exception approver.
- GS&S will coordinate submission of the Exception request directly to the approver.
- Requestors (the traveler) will either receive a determination directly from the approver or through GS&S.
- Requests should be made, at minimum, three (3) weeks in advance of travel. Under extenuating or emergent circumstance, GS&S will work with travelers to support requests with shorter lead time.

NOTE: DO NOT LOCK YOUR FORM AFTER DIGITALLY SIGNING! This will prevent other signatories from acting on the document and will create administrative delays. After digitally signing, you only need to “save” the document so that others (where required) can also complete their digital signatures.

TRAVEL CERTIFICATION AND EXCEPTION REQUEST FORM

Individual Travel

SECTION 1. TRAVELER AND TRAVEL DETAILS			
Family/Last Name		Given/First Name	
Email		Phone Number	
Country(ies) of Citizenship		U.S. Immigration Status	
University Status	<input type="checkbox"/> Undergraduate Student <input type="checkbox"/> Graduate Student (non-in absentia) <input type="checkbox"/> Graduate Student (in absentia) <input type="checkbox"/> Faculty, Postdoc, Researcher, Staff		
<i>Travel Location(s)</i> <small>Please use and attach a continuation sheet, as needed</small>		<i>Proposed Travel Dates</i>	
<i>City</i>	<i>Country</i>	<i>From</i>	<i>To</i>

SECTION 2. PURPOSE OF TRAVEL AND ALTERNATIVES
<i>Please provide a statement that describes the purpose of your travel, including the expected results or benefits to you and/or the University.</i>

<i>Next Question Only for Undergraduate Travelers</i>	
This University Travel is:	<input type="checkbox"/> Funded by an academic department or the Office of the Dean of the College (ODOC) <input type="checkbox"/> Co-curricular (please indicate appropriate Campus Life affiliation/funder) <ul style="list-style-type: none"> <input type="checkbox"/> Athletics <input type="checkbox"/> Office of the Dean of Undergraduate Students (ODUS) <input type="checkbox"/> Office of Religious Life (ORL) <input type="checkbox"/> Pace Center for Civic Engagement

SECTION 3. TRAVELER ATTESTATION

Initial all statements and sign where indicated

- _____ My travel is feasible because all of the following are true:
- My passport is valid for travel to my proposed destination(s).
 - My travel is allowed under U.S. laws and regulations, including export control regulations and applicable sanctions.
 - My travel is allowable under and can be performed in full compliance with the laws and regulations of the destination, including but not limited to those dealing with immigration, customs, and taxation.
 - I can enter my destination(s) without having to submit to any government-imposed restrictions on my freedom of movement, other than a quarantine lasting ten days or less that is completed in a location of my choosing.
 - My destination(s) is/are not subject to any local or national-level “stay-at-home,” lockdown, martial law or other order(s) that prevents freedom of movement, other than overnight curfews lasting no more than 10 hours.
- _____ My travel is University-related because *(check all that apply)*:
- The costs of the trip are covered to any extent by funds that a University entity has the discretion to pay or not to pay.
 - The University benefits in any way from my making this trip.
 - If I had no relationship with the University, I would not or could not take this specific trip for the purpose listed above.
- _____ I have reviewed the destination risk materials available online from GS&S, and believe that the benefits or results of this trip justify the risks identified to me. I am satisfied that I understand the risks underlying the risk category of my destination(s), and I have had the opportunity to have GS&S answer any of my questions.
- _____ I have made a good-faith effort to identify alternatives to traveling that would provide the same kind of benefits or results.

Signature of Requestor

Printed Name of Requestor

Date of Signature

CERTIFICATION ENDORSER TABLE

Undergraduate Students	Submit your signed Certification to travelcert@princeton.edu for processing to the appropriate Certification Endorser
Graduate Students (All)	Department chair, program director or dean for Certification endorsement.
Faculty, Postdoc, Researcher, Staff	Department chair, program director, unit head, or supervisor (as applicable).

SECTION 4. ENDORSEMENT OF CERTIFICATION

The following section is to be completed by an appropriate Endorser. See below for determination. Once endorsed,

I have reviewed all information in this request and have obtained any additional information I require from the traveler or from GS&S to endorse this Certification. I am satisfied that this trip is feasible and for a University-related purpose, according to the requirements of the current GS&S Travel Policy.

Endorser comments, if any:

Signature of Endorser

Printed Name of Endorser

Title of Endorser

Date of Signature

STOP! *Certifications only require completion of Sections 1 – 4.*

Once endorsed, the certification is complete and must be uploaded to your relevant travel enrollment.

Sections 5 – 7 are only for Exception requests.

CONTINUE only if you are completing an Exception request and have already obtained your Certification endorsement.

SECTION 5. IDENTIFIED RISKS AND RISK MITIGATIONS

Travelers are responsible for reviewing destination risk information and developing strategies that can be realistically adopted to mitigate health, safety, and security risks. Travelers may reach out to GS&S for guidance on identifying risks and developing mitigations, but must develop their own plans. This does not guarantee an Exception will be approved. Please attach continuation sheets, as appropriate.

STOP!

UPON COMPLETING SECTION 5, EMAIL THIS FORM TO GLOBALSAFETY@PRINCETON.EDU.
 INCLUDE "EXCEPTION REQUEST" IN THE EMAIL SUBJECT LINE.
GS&S WILL COMPLETE SECTION 6 AND COORDINATE THE COMPLETION OF SECTION 7 BY THE EXCEPTION APPROVER.
 PLEASE ALLOW THREE WEEKS FOR TOTAL PROCESSING BY ALL PARTIES.

SECTION 6. RESIDUAL RISK ASSESSMENT

To be completed by GS&S upon receipt

GS&S will include a completed Residual Risk Assessment for all Exception requests as an attachment to this form upon submission to Exception Approvers. Please see attached document for assessment and recommendation.

SECTION 7. EXCEPTION REVIEW AND DETERMINATION

To be sent by GS&S to the appropriate Exception Approver for completion.

I have reviewed all information in this request and have obtained any additional information I require from the traveler or from GS&S to make a determination. With due consideration for both the exigency described by the traveler and the residual risk assessed by GS&S, I have determined that this request is:

Approved

Not Approved

Endorser comments, if any:

 Signature of Approver

 Printed Name of Approver

 Title of Approver

 Date of Signature

UPON COMPLETING SECTION 7, THE EXCEPTION APPROVER SHOULD EMAIL THIS COMPLETED EXCEPTION REQUEST TO THE TRAVELER, COPYING GLOBALSAFETY@PRINCETON.EDU.